

NBI Unconstrained Fixed Income ETF

Proxy Information July 1, 2020 to June 30, 2021

Company Name	Ticker	Primary CUSIP	Meeting Date	Proponent	Proposal Text	Management Recommendation	Vote Instruction	Vote Against Management
Vallourec SA	VK	F96708270	29-Mar-21	Management	Approve Extraordinary Resolution as per Meeting Notice	For	For	No
Vallourec SA	VK	F96708270	29-Mar-21	Management	Approve Extraordinary Resolution as per Meeting Notice	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director Douglas E. Brooks	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director Samantha Holroyd	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director John Jacobl	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director N. John Lancaster, Jr.	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director Robert McNally	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Elect Director Cynthia L. Walker	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	No
Oasis Petroleum Inc.	OAS	674215207	28-Apr-21	Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Janet L. Carrig	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Susan M. Cunningham	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Paul J. Korus	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Kevin S. McCarthy	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Lynn A. Peterson	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Daniel J. Rice, IV	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Elect Director Anne Taylor	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	No
Whiting Petroleum Corporation	WLL	966387508	11-May-21	Management	Ratify Deloitte & Touche LLP as Auditor	For	For	No